

Changes to the NIH Biosketch and Other Support Pages

Presenter: Allison Gottlieb
Director, Education and Communications
Grants and Contracts Office

Last updated: April 13, 2021



**Mount
Sinai**

**For application due dates on or after May 25, 2021,
there will be substantive changes to the NIH
Biosketch and Other Support form pages.**

**This affects all NIH applications, including
competing and non-competing (RPPRs).**

**Failure to comply with the new form requirements
may result in rejection of your application.**

What are the Changes?



Biosketch Form Page

OMB No. 0925-0101 and 0925-0002 (Rev. 12/2020 Approved Through 02/28/2023)

BIOGRAPHICAL SKETCH

Provide the following information for the Senior/key personnel and other significant contributors.
Follow this format for each person. DO NOT EXCEED FIVE PAGES.

NAME: Hunt, Morgan Casey

ORCID COMMONS USER NAME (credential, e.g., agency login): huntmc1

POSITION TITLE: Associate Professor of Psychology

EDUCATION/TRAINING (Begin with baccalaureate or other initial professional education, such as nursing, include postdoctoral training and residency training if applicable. Add/delete rows as necessary.)

INSTITUTION AND LOCATION	DEGREE (if applicable)	Completion Date MM/YYYY	FIELD OF STUDY
University of California, Berkeley	BS	05/2003	Psychology
University of Vermont	PHD	05/2009	Experimental Psychology
University of California, Berkeley	Postdoctoral	08/2013	Public Health and Epidemiology

A. Personal Statement

I am an Associate Professor of Psychology, and my research is focused on neuropsychological changes associated with addiction. I have a broad background in psychology, with specific training and expertise in ethnographic and survey research and secondary data analysis on psychological aspects of drug addiction. As PI or co-Investigator on several university- and NIH-funded grants, I laid the groundwork for the proposed research by developing effective measures of disability, depression, and other psychosocial factors relevant to the aging substance abuser, and by establishing strong ties with community providers that will make it possible to recruit and track participants over time as documented in the following publications. In addition, I successfully administered the projects (e.g. staffing, research protections, budget), collaborated with other researchers, and produced several peer-reviewed publications from each project. As a result of these previous experiences, I am aware of the importance of frequent communication among project members and of constructing a realistic research plan, timeline, and budget. The current application builds logically on my prior work. During 2015-2016, my career was disrupted due to family obligations. However, upon returning to the field, I immediately resumed my research projects and collaborations and successfully competed for NIH support. In summary, I have the expertise, leadership, training, expertise and motivation necessary to successfully carry out the proposed research project.

Ongoing and recently completed projects that I would like to highlight include:

R01 DA942367

Hunt (PI)

09/01/16-08/31/21

Health trajectories and behavioral interventions among older substance abusers

R01 MH922731

Morone (PI), Role: co-Investigator

12/15/17-11/30/22

Physical disability, depression and substance abuse in the elderly

Changes to the Form:

- 1) **Section B, retitled, and expanded to require all Positions, Scientific Appointments and Honors, regardless of relevance to current application.**
- 2) **Section D removed, and Research Support relocated to Section A.**



This is a partial sample of the form.

Biosketch Changes: Updated Instructions

Section B

‘Positions and Honors’ has been renamed ‘**Positions, Scientific Appointments, and Honors**’

- ▶ List in **reverse** chronological order ***all positions and scientific appointments both domestic and foreign, including affiliations with foreign entities or governments.***
- ▶ ***This includes titled academic, professional, or institutional appointments whether or not remuneration is received, and whether full-time, part-time, or voluntary (including adjunct, visiting, or honorary).***

Example of Biosketch Section B

B. Positions, Scientific Appointments, and Honors

Positions and Scientific Appointments

2021– Present	Associate Professor, Department of Psychology, Washington University, St. Louis, MO
2020 – Present	Adjunct Professor, McGill University Department of Psychology, Montreal, Quebec, Canada
2018 – Present	NIH Risk, Adult Addictions Study Section, members
2015 – 2017	Consultant, Coastal Psychological Services, San Francisco, CA
2014 – 2021	Assistant Professor, Department of Psychology, Washington University, St. Louis, MO
2014 – 2015	NIH Peer Review Committee: Psychobiology of Aging, ad hoc reviewer
2014 – Present	Board of Advisors, Senior Services of Eastern Missouri
2013 – 2014	Lecturer, Department of Psychology, Middlebury College, Middlebury, VT
2011 – Present	Associate Editor, Psychology and Aging
2009 – Present	Member, American Geriatrics Society
2009 – Present	Member, Gerontological Society of America
2009 – 2013	Fellow, Division of Intramural Research, National Institute of Drug Abuse, Bethesda, MD
2006 – Present	Member, American Psychological Association

Honors

2020	Award for Best in Interdisciplinary Ethnography, International Ethnographic Society
2019	Excellence in Teaching, Washington University, St. Louis, MO
2018	Outstanding Young Faculty Award, Washington University, St. Louis, MO

Biosketch Changes: Updated Instructions

Section D Research Support:

- ▶ For the non-Fellowship Biosketch, Section D. has been removed.
- ▶ For the Fellowship Biosketch, Section D has been updated to remove 'Research Support.

As applicable, all applicants may include details on ongoing and completed research projects from the past three years that they want to draw attention to within the personal statement, Section A.

- ▶ In addition, recently completed research projects within the last three years has moved to the Other Support page.

Example of Biosketch: Research Projects in Section A

This example includes ongoing and completed research projects.

A. Personal Statement

I am an Associate Professor of Psychology, and my research is focused on neuropsychological changes associated with addiction. I have a broad background in psychology, with specific training and expertise in ethnographic and survey research and secondary data analysis on psychological aspects of drug addiction. As PI or co-Investigator on several university- and NIH-funded grants, I laid the groundwork for the proposed research by developing effective measures of disability, depression, and other psychosocial factors relevant to the aging substance abuser, and by establishing strong ties with community providers that will make it possible to recruit and track participants over time as documented in the following publications. In addition, I successfully administered the projects (e.g. staffing, research protections, budget), collaborated with other researchers, and produced several peer-reviewed publications from each project. As a result of these previous experiences, I am aware of the importance of frequent communication among project members and of constructing a realistic research plan, timeline, and budget. The current application builds logically on my prior work. During 2015-2016, my career was disrupted due to family obligations. However, upon returning to the field, I immediately resumed my research projects and collaborations and successfully competed for NIH support. In summary, I have the expertise, leadership, training, expertise and motivation necessary to successfully carry out the proposed research project.

Ongoing and recently completed projects that I would like to highlight include:

R01 DA942367

Hunt (PI)

09/01/16-08/31/21

Health trajectories and behavioral interventions among older substance abusers

R01 MH922731

Merryle (PI), Role: co-investigator

12/15/15-11/30/20

Physical disability, depression and substance abuse in the elderly

Other Support

For New and Renewal Applications – DO NOT SUBMIT UNLESS REQUESTED PHS 398 OTHER SUPPORT

There is no "form page" for reporting Other Support. Information on Other Support should be provided in the format shown below.

*Name of Individual: Anderson, R.R.
Commons ID: ~~AndersonRR~~

Other Support – Project/Proposal

ACTIVE

*Title: Chloride and Sodium Transport in Airway Epithelial Cells

Major Goals: The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

*Status of Support: Active

Project Number: 2 R01 HL 00000 - 13

Name of PD/PI: Anderson, R.R.

*Source of Support: NHLBI

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 3/1/2021 – 2/28/2026

* Total Award Amount (including Indirect Costs): \$1,492,232

* Person Months (Calendar/Academic/Summer) per budget period.

Year (YYYY)	Person Months (##.##)
1. 2021	3.6 calendar
2. 2022	3.6 calendar
3. 2023	3.6 calendar
4. 2024	3.6 calendar
5. 2025	3.6 calendar

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

*Signature: Anderson, R.R.

Date: March 25, 2021

Many Substantive Changes:

- 1) **Format Change**
- 2) **Expands/clarifies what qualifies as Other Support**
- 3) **Adds new fields**
- 4) **Adds In-Kind support section**
- 5) **Investigator certification signature**
- 6) **Adds Completed Projects section for JIT OS**
- 7) **New required supporting documentation for foreign appointments/employment**
- 8) **Broadens overlap section**



This is a partial sample of the form.

Other Support JIT Changes: Updated Formatting

Four Sections

1 Proposals/Projects

Active, Pending, and Completed Support within 3 Years.

2 In Kind Contributions

Active and Pending In Kind Contributions

3 Overlap

4 Certification

Other Support Page (JIT)

Name of Individual: **Anderson, R.R.**
Commons ID: **AndersonRR**

1

PROJECT/PROPOSAL

Active: None

Pending

Title: Liposome Membrane Composition and Function

Major Goals: The major goals of this project are to define biochemical properties of liposome membrane components and maximize liposome uptake into cells.

Project Number: DCB 950000

Name of PD/PI: Anderson, R.R.

Source of Support: National Science Foundation

Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 10/1/2021 – 9/30/2023

Total Award Amount (including Indirect Costs): \$262,921

Person Months (Calendar/Academic/Summer) per budget period:

Year (YYYY)	Person Months (##.##)
1. 2021	2.4 calendar
2. 2022	2.4 calendar

Completed

Title: Gene Transfer of CFTR to the Airway Epithelium

Major Goals: The major goals of this project are to identify and isolate airway epithelium progenitor cells and express human CFTR in airway epithelial cells.

Status of Support: Completed

Project Number: R000

Name of PD/PI: Anderson, R.R.

Source of Support: Cystic Fibrosis Foundation

Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 9/1/17 – 8/31/20

2

IN-KIND

Active

Summary of In-Kind Contribution: Post-doctoral fellow, Dr. John Smith, who conducts research activities in the Anderson lab. Salary supported by Oxford University.

Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

Person Months (Calendar/Academic/Summer) per budget period: N/A

Estimated Dollar Value of In-Kind Information: \$80,000

Pending

Summary of In-Kind Contribution: C57BL/6-ABC^{1m1} mice provided by Dr. Joseph Jones at the University of Texas at Austin.

Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

Person Months (Calendar/Academic/Summer) per budget period: N/A

Estimated Dollar Value of In-Kind Information: estimate \$4,000

3

Overlap

There is scientific overlap between aim 2 of NSF DCB 950000 and aim 4 of the application under consideration. If both are funded, the budgets will be adjusted appropriately in conjunction with agency staff.

4

Certification

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

Signature: Anderson, R.R.

Date: March 25, 2021

Other Support RPPR Changes: Updated Formatting

Four Sections

1 Proposals/Projects - Active only

3 Overlap

2 In Kind Contributions - Active only

4 Certification

Other Support Page (RPPR)

Name of Individual: **Smith, T.**

Commons ID: **SmithT**

PROJECT/PROPOSAL

Active:

Title: Chloride and Sodium Transport in Airway Epithelial Cells

Major Goals: The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

Project Number: 2 R01HL00000 - 13

Name of PD/PI: Smith, Thomas

Source of Support: NHLBI

Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 3/1/2020 – 2/28/2025

Total Award Amount (including Indirect Costs): \$1,492,232

Person Months (Calendar/Academic/Summer) per budget period.

Year (YYYY)	Person Months (##.##)
2. 2021	3.6 calendar
3. 2022	3.6 calendar
4. 2023	3.6 calendar
5. 2024	3.6 calendar

IN-KIND

Active

Summary of In-Kind Contribution: Cell line XYZ provided by Dr. Jennifer Smith at Cornell University.

Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

Person Months (Calendar/Academic/Summer) per budget period: N/A

Estimated Dollar Value of In-Kind Information: estimate \$1,000

Overlap

None

Certification

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

Signature: _____ Anderson, R.R. _____

Date: _____ March 25, 2021 _____



*Submit only when there is a change in active support.
More on this topic in an upcoming slide.*

Other Support Changes: All Research Support

- ▶ Other Support includes all resources made available to a researcher in support of and/or related to all of their research endeavors.
- ▶ This is regardless of whether or not they have monetary value.
- ▶ This is regardless of whether they are based at the institution the researcher identifies for the current grant.
- ▶ This includes resources and/or financial support from all foreign and domestic entities, that are available to the researcher.

Other Support Changes: All Research Support Examples

This includes but is not limited to:

- ▶ Financial support for laboratory personnel, and provision of high-value materials that are not freely available (e.g., biologics, chemical, model systems, technology, etc.).
- ▶ Consulting agreements, when the PD/PI or other senior/key personnel will be conducting research as part of the consulting activities.

Non-research consulting activities are not Other Support.

- ▶ In-kind contributions, e.g. office/laboratory space, equipment, supplies, or employees or students supported by an outside source.

If the time commitment or dollar value of the in-kind contribution is not readily ascertainable, the recipient must provide reasonable estimates.

Other Support Changes: In Kind Contributions Section

Important Distinction

- ▶ If in-kind contributions **are intended for use on the project being proposed** to NIH in this application, the information must be included as part of the Facilities and Other Resources or Equipment section of the application and need not be replicated on the Other Support.
- ▶ In-kind contributions **not intended for use on the project/proposal being proposed** in this application must be reported on the Other Support.

... We will review this distinction from the examples on the following slides.

Other Support Changes: In Kind Contributions Section

List Active and Pending In Kind Contributions separately from Projects/Proposals.

IN-KIND

*Summary of In-Kind Contribution: Post-doctoral fellow, Dr. John Smith, who conducts research activities in the Anderson lab. Salary supported by Oxford University.

*Status of Support: Active

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

*Person Months (Calendar/Academic/Summer) per budget period: N/A

*Estimated Dollar Value of In-Kind Information: \$80,000

*Summary of In-Kind Contribution: Cell line XYZ provided by Dr. Jennifer Smith at Cornell University.

*Status of Support: Active

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

*Person Months (Calendar/Academic/Summer) per budget period: N/A

*Estimated Dollar Value of In-Kind Information: estimate \$1,000

*Summary of In-Kind Contribution: C57BL/6-ABC^{tm1jbp} mice provided by Dr. Joseph Jones at the University of Texas at Austin.

*Status of Support: Active

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

*Person Months (Calendar/Academic/Summer) per budget period: N/A

*Estimated Dollar Value of In-Kind Information: estimate \$4,000

In Kind Other Support vs. Facilities & Other Resources and Equipment

☒ Setup Questions

SF424 (R&R)

Other Project Info

Performance Sites

Personnel

Budget

PHS 398 Cover Page Supplement

PHS 398 Research Plan

Human Subjects/CT

New / Competitive

Renewals / Re ...

Int ...

Other Project Info

Text in PDF attachments must follow these minimum requirements:

- **Text color:** No restriction. Though not required, black or other high-contrast text colors are recommended since they print and are legible to the largest audience.
- **Font size:** must be 11 points or larger (smaller text in figures, graphs, diagrams and charts is acceptable as long as it is legible when the page is viewed at 100%)
- **Type density:** must be no more than 15 characters per linear inch (including characters and spaces)
- **Line spacing:** must be no more than six lines per vertical inch

9. Bibliography & References Cited

10. Facilities & Other Resources No file chosen

11. Equipment

12. Other Attachments

InfoEd Snapshot

In-kind contribution is intended for use on my project. Do I need to include this somewhere?



Yes, include on the Facilities & Other Resources or Equipment section of your application. Good luck!



Other Support Changes: Gift Definition

- ▶ Other Support does not include training awards, prizes, or gifts.
- ▶ Gifts are resources provided where there is no expectation of anything (e.g. time, services, specific research activities, money, etc.) in return. An item or service given with the expectation of an associated time commitment is not a gift and is instead an in-kind contribution and must be reported as Other Support.

Other Support Changes for JIT: Completed Support Section

Provide completed support within the past three years for all senior/key personnel.

COMPLETED

*Title: Gene Transfer of CFTR to the Airway Epithelium

Major Goals: The major goals of this project are to identify and isolate airway epithelium progenitor cells and express human CFTR in airway epithelial cells.

*Status of Support: Completed

Project Number: R000

Name of PD/PI: Anderson, R.R.

*Source of Support: Cystic Fibrosis Foundation

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 9/1/17 – 8/31/20

Other Support: Commons ID for Each Senior/Key Personnel

OMB No. 0925-0001 and 0925-0002 (Rev. 12/2020 Approved Through 02/28/2023)

For New and Renewal Applications – DO NOT SUBMIT UNLESS REQUESTED PHS 398 OTHER SUPPORT

There is no "form page" for reporting Other Support. Information on Other Support should be provided in the format shown below.

*Name of Individual: Anderson, R.R.
Commons ID: AndersonRR



At the top of the page, provide the investigator's Commons ID.

Other Support Changes: New Information Required for Each Entry

Changes to Details for Each Entry

- ▶ Include Primary Place of Performance
- ▶ Include Total Costs for Project Period rather than Annual Direct Costs
- ▶ List Effort for Each Budget Project

ACTIVE

*Title: Chloride and Sodium Transport in Airway Epithelial Cells

Major Goals: The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

*Status of Support: Active

Project Number: 2 R01 HL 00000 - 13

Name of PD/PI: Anderson, R.R.

*Source of Support: NHLBI

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 3/1/2021 – 2/28/2026

* Total Award Amount (including Indirect Costs): \$1,492,232

* Person Months (Calendar/Academic/Summer) per budget period.

Year (YYYY)	Person Months (##.##)
1. 2021	3.6 calendar
2. 2022	3.6 calendar
3. 2023	3.6 calendar
4. 2024	3.6 calendar
5. 2025	3.6 calendar

Active Projects:

Provide level of actual effort in person months (even if unsalaried) for current budget period and indicate the proposed level effort for remaining budget periods.

Other Support Changes: Supporting Documentation Requirement for Foreign Appointments/Employment

The NIH requires supporting documentation which includes:

- ▶ Copies of contracts/agreements specific to senior/key-personnel foreign appointments and/or employment with a foreign institution.
- ▶ This is for all foreign activities and resources that are reported on the Other Support.
- ▶ If the contracts/agreements are not in English, recipients must provide translated copies.
- ▶ Machine read translations are acceptable.

- ✓ Provide the contract/agreement in the original foreign language.
- ✓ G00 will obtain the machine read translated contract/agreement.
- ✓ Documents translated by the Investigator will not be accepted.

Other Support Changes: Certification Block

- Each Senior/Key Personnel Certifies OS page.

Signature block added to form.

"...the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties."

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

*Signature: Anderson, R.R.

Date: March 25, 2021

RPPRs?
When do I
submit an
updated OS
page?



RPPR Other Support – Change in Active Awards Only

This requirement remains the same:

- ▶ Include active other support for senior/key personnel whose support has changed and indicate what the change has been.
- ▶ If a previously active grant has terminated and/or if a previously pending grant is now active, submit complete Other Support information.



In Kind Contributions is a new section. If that is the only addition, do not submit the OS form for this change alone.

Other Support Changes: Immediate Notification of Undisclosed Other Support

Scenario:

- ▶ A recipient organization discovers that a PI or other Senior/Key personnel on an active NIH grant failed to disclose Other Support information.
- ▶ This could occur either after the Just-in-Time or the RPPR application was submitted.
- ▶ The investigator must submit an updated Other Support to the GCO, for forwarding to the Grants Management Specialist named in the Notice of Award as soon as it becomes known.



Investigator

Administrator

Financial Analyst

GCO

Who
Knows
Best?



Grants Manager

Research Coordinator

PI

Who Knows Best?

Investigator

Senior/Key Personnel

- ▶ Responsible for information on his/her Biosketch and OS pages.
- ▶ Only person qualified to determine scientific overlap.
- ▶ Likely only person with complete knowledge of in-kind items.
- ▶ Each Senior/Key Personnel Certifies OS page.
Signature block added to form.
"...the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties."

Who Knows Best?

Departmental Staff

Administrator, Financial Analyst, Grants Administrator, Research Coordinator, and Others

- ▶ May assist in preparing information on the Biosketch and OS pages.
- ▶ Certain roles have access to HR information to confirm actual effort on the OS page.
- ▶ Never appropriate to determine Scientific Overlap on an OS page.

Who Knows Best?

GCO Staff

Grants Specialists, Authorized Organization Representatives

- ▶ Reviews information that can be confirmed against InfoEd for accuracy.
- ▶ Verifies whether there is effort overlap.
- ▶ Determines conformity with formatting guidelines.
- ▶ Submits documentation to the NIH.

Frequently Asked Questions



What does it mean to be compliant with the new biosketch policy?

All biosketches included in applications submitted for due dates on/after May 25, 2021 must be formatted per the instructions in the [application guide](#) (and repeated in [online resources](#)), including:

Completing each section, as applicable (A - Personal Statement; B – Positions, Scientific Appointments and Honors; C – Contributions to Science; D – Scholastic Performance)

- Including no more than 5 contributions to science with no more than 4 citations per contribution
- Ensuring that if you include the optional link to a full list of your published work in a site like [My Bibliography](#) that the URL is .gov
- Refraining from including information, such as preliminary data, that belongs elsewhere in the application
- Following NIH guidance on font type, font size, paper size, and margins (See [Format Attachment](#) instructions)
- Using PDF format for your biosketch attachment
- Limiting the length of your biosketch to 5 pages or less
- During the transition to the new format announced in [NOT-OD-21-073](#), NIH will not withdraw applications that include the previous Biosketch format.

Beginning with applications submitted on or after January 25, 2022, failure to follow the appropriate Biosketch format may cause NIH to withdraw your application from consideration.

Other Support FAQs

Excerpted from NIH's OS FAQs

- ▶ **Does this new policy impact Data Tables, which include reports of research support for faculty associated with Institutional Research Training Grant Applications?**

No, there are no changes to what should be reported on data tables for Institutional Research Training grants. Comprehensive information related to these data tables can be found on NIH's Webpage on Data Tables.

- ▶ **Will NIH begin using SciENcv for Other Support?**

NIH and SciENcv are currently developing an Other Support template, the estimated date to be rolled out, at the earliest, is Fiscal Year 2022.

- ▶ **Will electronic signatures be accepted on Other Support submissions?**

Other Support submissions at Just-in-Time and in the RPPR must be submitted as a flattened PDF, after all signatures are obtained. Applicants and recipients must maintain the original electronic signature and make it available upon request. When NIH transitions to the use of SciENcv to generate Other Support, the signature/certification will be integrated into that process.

- ▶ **Should a researcher include start-up support provided by the applicant organization in Other Support?**

Start-up packages provided to the researcher from the applicant organization are not included in Other Support. Start-up packages from outside organizations, including foreign entities, must be included in Other Support

Other Support FAQs

Excerpted from NIH's OS FAQs

- ▶ **When a researcher is including information on a subproject in Other Support, should the researcher provide the total award amount for the overall award, or only the subproject?**
For subprojects, recipients should provide the project number and PD/PI name for the overall project. All other information, including total award amount and person months, for the subproject only.
- ▶ **When a researcher works on a subaward to an NIH grant that is awarded to another institution, how should that information be included in Other Support?**
The researcher should provide the project number, PD/PI name for the prime award. All other information, including the total award amount and person months, should be specific the subaward.
- ▶ **If a PD/PI or other senior/key Personnel has an exchange student or post-doc working on research activities in their lab who is paid a salary by their home university in a foreign country, does that need to be included in Other Support?**
Yes, since the student or post-doc is performing research activities, the student's work in the lab is a resource available in support of the PD/PI or other senior/key Personnel's research endeavors. It must be reported as an in-kind contribution on Other Support.
- ▶ **If a PD/PI or other senior/key personnel is mentoring post-doc or graduate students who are individually funded through an outside institution) e.g. foundation or home university), does that need to be disclosed as an in-kind resource in Other Support?**
If the post-doc or graduate student is performing research activities in support of the PD/PI or other senior/key personnel's research endeavors, then their support must be reported as an in-kind resource. If the relationship is solely a mentor/mentee arrangement, with no research activities, then it is not a resource, and does not need to be reported.

Other Support FAQs

Excerpted from NIH's OS FAQs

► **What should I do if I'm not sure if something needs to be included as Other Support?**

In the interest of full transparency, recipients should err on the side of disclosure. Researchers should consult with their institutional officials for guidance to ensure compliance with institutional and NIH policies. NIH requires complete and accurate reporting of all sources of research support, financial interests and affiliations, both foreign and domestic.

► **For in-kind resources that do not have any associated effort, can researchers enter zero effort?**

Yes, for in-kind resources with no associated time commitment, researchers can list zero effort, but must provide the estimated dollar value of the in-kind resource.

The effort and dollar value cannot be both be zero.

► **Foreign Contracts: Does this include employment contracts for fellows or students working in the lab?**

No. Personal service contracts for lab staff do not need to be provided. Only contracts, grants and any other agreements specific to the PD/PI or other senior/key personnel's appointments and employment are required. However, NIH continues to reserve the right to request additional information, as necessary.

Why?



Foreign Interference and the Integrity of Federally Funded Biomedical Research

- ▶ One of the driving factors behind the changes is the U.S. Federal government's concern about possible foreign interference with the integrity of federally-funded biomedical research.
- ▶ Example: Investigators operating undisclosed “shadow labs” in foreign countries creating the plausible claim that the work generated intellectual property (IP) outside the U.S.
- ▶ NIH has partnered with the FBI to investigate and has sent letters to specific institutional grant recipients inquiring into possible failures to disclose investigators' foreign affiliations, foreign research support, and foreign components.
- ▶ NIH has tightened up its requirements on the Biosketch and OS pages among other changes.

NIH Resources

NIH Resources

Notice

Upcoming Changes to the Biographical Sketch and Other Support Format Page for Due Dates on or after May 25, 2021 (NOT-OD-21-073)

Biosketch

- ▶ [Biosketch Resource Webpage](#)
- ▶ [FAQs](#)
- ▶ [Sample Page \(Non-fellowship\)](#)

Other Support

- ▶ [Other Support Resource Webpage](#)
- ▶ [FAQs](#)
- ▶ [Sample Page](#)

Please visit these resources, especially the FAQs and Sample Pages.

GCO Resources

GCO Resources

Policies & Procedures

- ▶ [NIH Other Support Instructions: Just in Time \(JIT\) Applications](#)
- ▶ [NIH Other Support Instructions: Research Performance Progress Reports \(RPPRs\)](#)
- ▶ [NIH and Foreign Influences on Research Integrity: A Guide to Navigating Through NIH Policy and Procedure](#)

Other Resources

- ▶ [Other Support Checklist](#)
- ▶ [Investigator Other Support Tracker](#)
- ▶ [Other Support RPPR Changes Form](#)

Training

- ▶ [GCO 401: Basics of Preparing an NIH Other Support \(OS\) Page](#)
- ▶ [GCO 402: Preparing an NIH Just in Time \(JIT\) OS Page](#)
- ▶ [GCO 403: Preparing an NIH Research Performance Progress Report \(RPPR\) OS Page](#)

Our resources will be updated to incorporate the new NIH guidance.

Questions?

How Can We Help You?

GCO Departmental Assignments

*Contact me at Allison.Gottlieb@mssm.edu for
feedback and questions about GCO's resources.*